# How Does NBOCAP Complement the Work of NCIN?

Jason Smith MD DMI FRCS(Gen.Surg)

**Consultant Surgeon** 

Hon. Sen. Lect. Imperial College

Sen. Clin. Analyst ACPGBI

# How can NBOCAP & NCIN Complement the Work of Each Other?

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#### use of audit?

- Improve patient outcomes
- Improve everyone's performance
- Assess the introduction of new techniques
- Examine the impact of change in practice
- Protect the patient
- Reassure the patient
- Protect the unit
- Protect the surgeon



Jason Smith, Consultant Surgeon

#### abuse of audit

- Incorrect assumptions on incomplete data
- Spin & statistical manipulation
- Finger of blame
- Stopping good units working

Incorrect statistical correction

Restriction / Exclusion



#### Not a new concept

- age & sex
- occupation
- disease or accident
- date of operation
- operation
- constitution of patient
- complications recovery or death



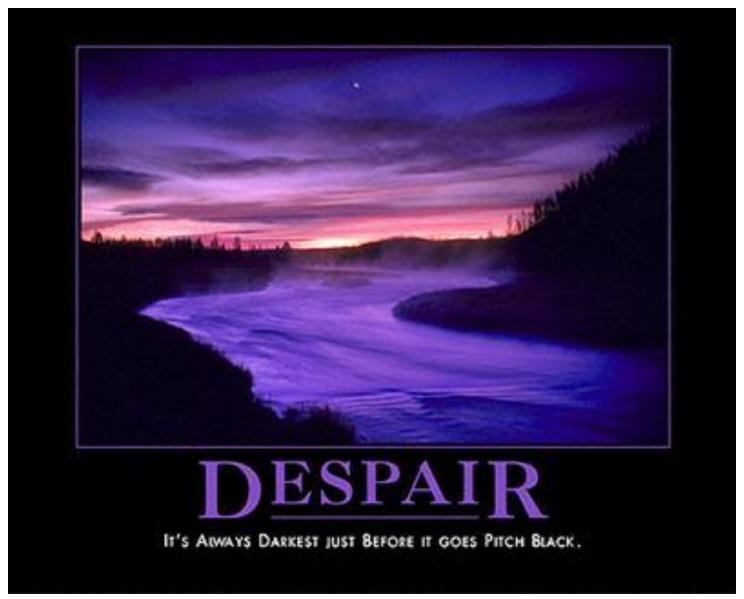
Nightingale: notes on hospitals 3rd ed: 1863



# UK bowel cancer audit

Origin of NBOCAPNBOCAP in Action

#### **National Audits**



# duplicate data collection!

demographics

waiting times

investigations

radiology

operation

oncology

Histology

audit

**CaMIS** 

**NHS-wt** 

**Anglia ICE** 

IMPAX web 1000

theatreman

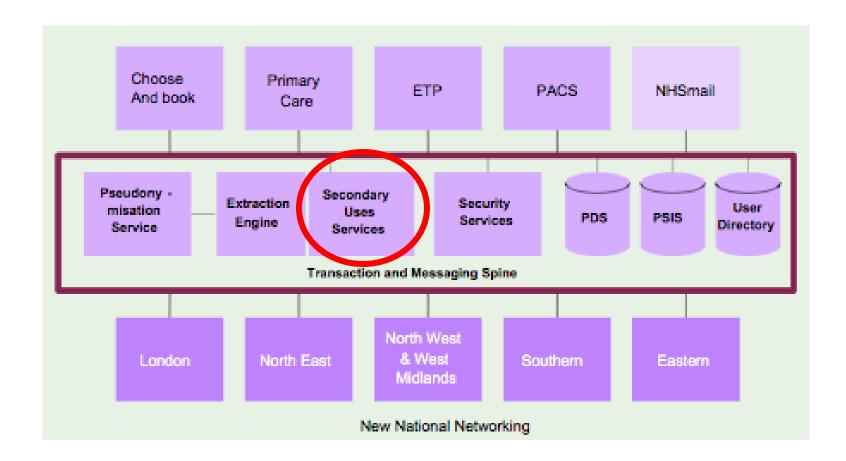
isco

Winpath

**ACP** database

#### UK data collection – present/future

NHS CfH Care Records Architecture



# On our own?



# Origin of NBOCAP

- Regional population audits
  - (wessex, trent, wales, scotland) in 1990's
- Voluntary participation across UK in 2000

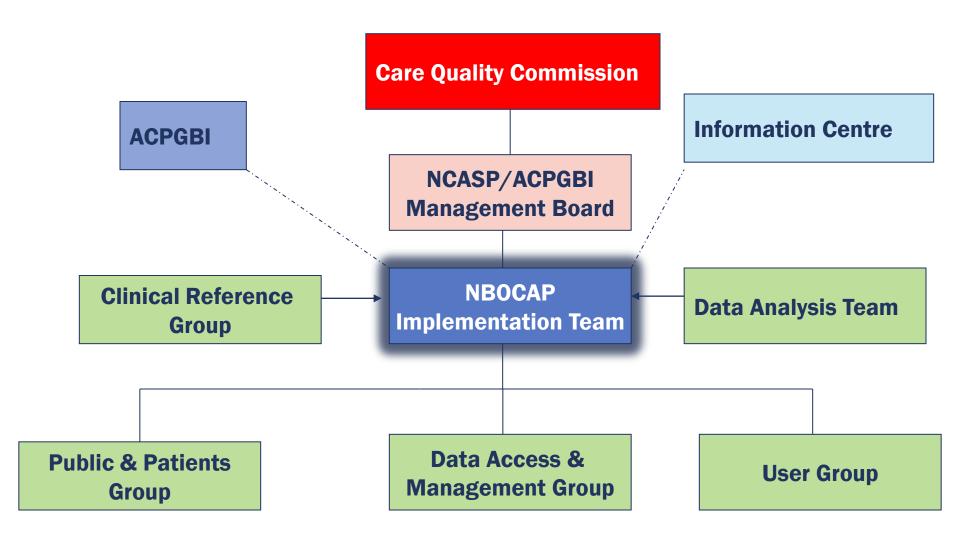
  - 50% participation
  - 30% capture



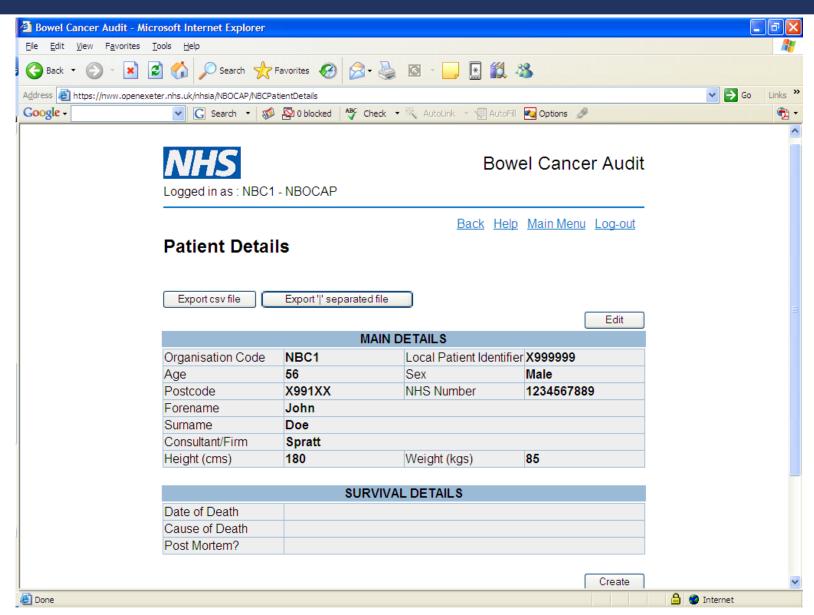




#### Current NBOCAP structure

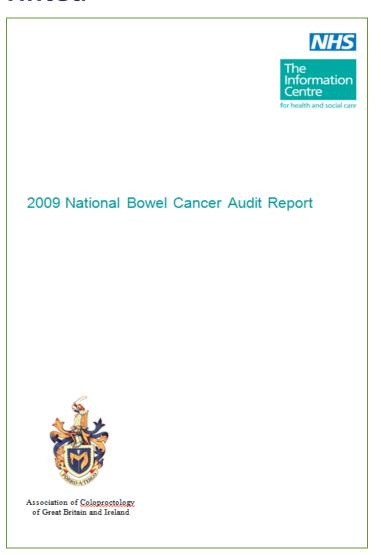


#### National BOwel Cancer Audit Programme

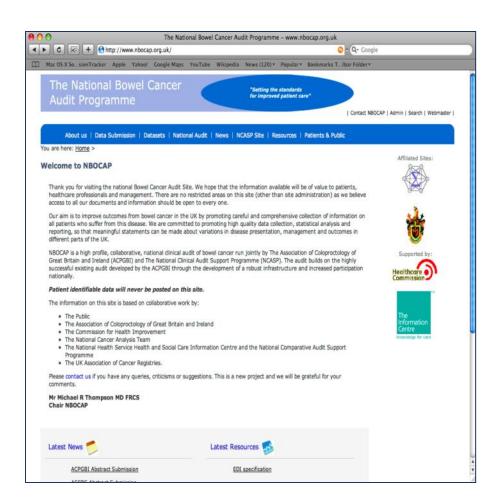


#### Reporting results

#### **Printed**



#### Online – www.nbocap.org.uk



# Open Reporting in the UK - 2009

- 11 measures reported by named trust in 2009
  - ◇ Participation 95%
  - Case ascertainment 69%
  - ♦ Data quality 50%
  - Discussed @ MDT 82%
  - ♦ Seen by CNS 51%
  - CT performed 61%
  - Rectal cases staged with MRI 51%
  - Rectal cases having CRM commented on 59%
  - Rectal cases having pre-operative therapy 32%
  - Emergency presentation 18%
  - Lymphovascular involvement 26%

# Risks of open reporting

...a common fallacy is the supposition that it is possible to rate people... to put them in rank order for performance for the next year based on performance last year.....

- Clinical outcomes not a precise science
- Effect on staff morale
- Case-mix variation
- Misinterpretation & misuse of results
- Danger of reducing benefit of the audit
- Restriction of choice
- Creating of hostile environment for clinicians

# Reducing the risks of open reporting

...useful criterion for recognition of outstanding performance is...demonstration of improvement year on year over a period of 7 or more years ...the opposite criterion namely persistent deterioration over a period of 7 years may indicate people that are in need of help...

- Collect high quality data
- Formalise data capture in the clinical record
- Central submission, web based data capture
- Case-mix / risk-adjustment
- Allow for year on year variation
- Set appropriate national standards
- Feedback & governance
- Staged move to full open reporting

# Big Brother is Watching......

"There is no going back......

The department is not seeking your permission.

It is merely seeking your help."

Sir Bruce Keogh Medical Director of the NHS 28 May 2008



What can we do?

NBOCAP strengths

# So why audit?

'Routine feedback of risk adjusted data on local performance... heightens awareness and leads to self- examination and self-assessment, which in turn improves quality and outcomes.'

Quality Improvement in Cardiac Surgery – Grover et al.. '2001

# Audit – quality relationship?



#### Quality Markers in Colorectal Cancer

Short-term Markers	Long-Term Markers
Operative mortality / 30 day mortality	5 year survival rates
Lymph-node yield	5 year local recurrence rates
CRM Rates	5 year disease-free survival
APER rates	
MDT discussion	
Length of postoperative hospital stay	<b>Cancer Wait Times</b> 31-days decision – treat
Preoperative imaging (e.g. MRI, CT vs	62-days referral – treat
Ba Enema, colonoscopy)	

West Middlesex University Hospital

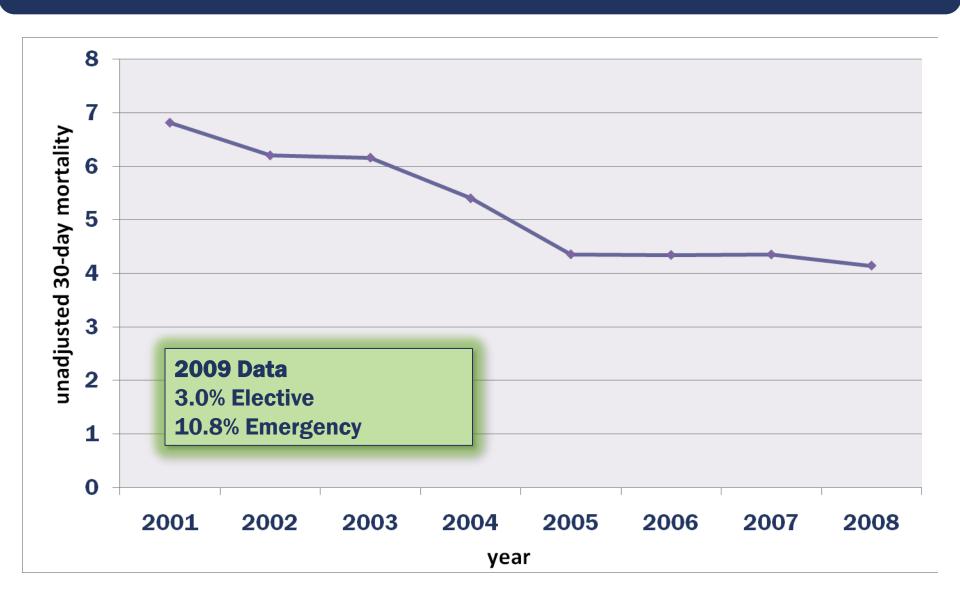
Morbidity (including leak rates)

#### **HES & Clinical Datasets**

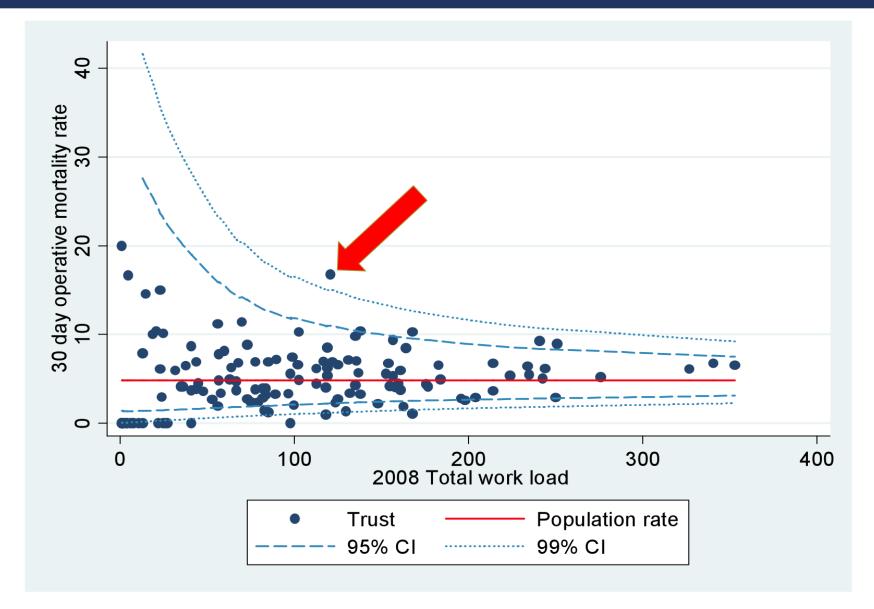
- Comprehensive population coverage
- Trained coders?
- Good for regional level analysis
- Poor for individual/team level analysis

- Better staging data
- Patient Pathway information
- Care processes
- Co-morbidity etc
- Clinical data
- Better for individuals

# Mortality Rates



#### OMG – Mortality is 3 times national average!!!



#### Which risk factors, model formation

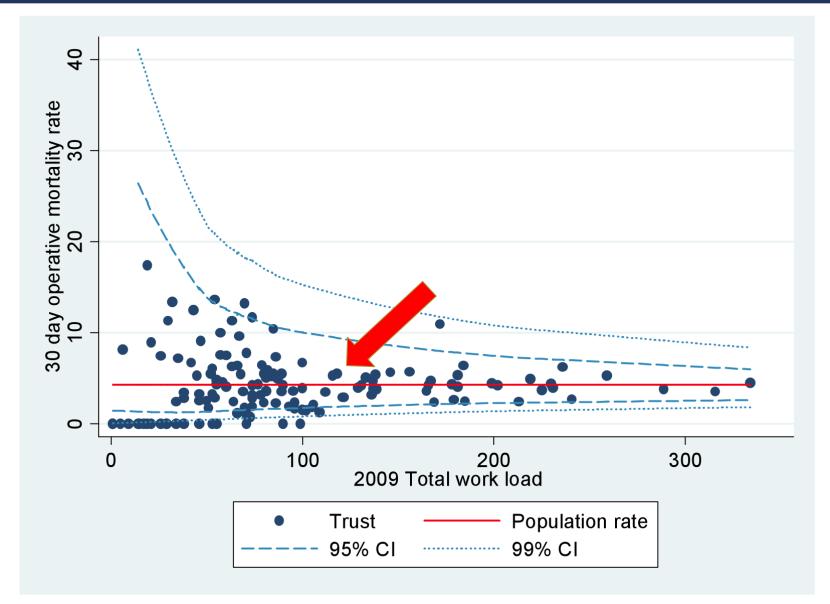
- Age
- ASA
- Mode of Surgery
- Cancer resection (operation type)
- Dukes' stage

ACPGBI model 2003: 3-level model Area-district-patient

$$\begin{split} \text{DEATH}_{ijk} &\sim \text{Binomial}(\text{denom}_{ijk}, \, \pi_{ijk}) \\ \text{DEATH}_{ijk} &= \pi_{ijk} + e_{1ijk} \text{bcon}^* \\ \text{logit}(\pi_{ijk}) &= \beta_{0jk} \text{cons} + 0.797(0.135) \text{ASA}\_1\_2_{ijk} + \\ &= 1.442(0.140) \text{ASA}\_1\_3_{ijk} + 2.359(0.174) \text{ASA}\_1\_4_{ijk} + \\ &= 0.018(0.113) \text{B}_{ijk} + 0.121(0.116) \text{C#1}_{ijk} + 0.662(0.129) \text{D}_{ijk} + \\ &= 0.803(0.087) \text{Urgent}_{-ijk} + 1.122(0.106) \text{Emergency}_{-ijk} + \\ &= 0.586(0.102) 65-74\_{ijk} + 1.065(0.096) 75-84\_{ijk} + \\ &= 1.598(0.121) 85\_94_{ijk} + 2.705(0.365) 95+\_{ijk} + \\ &= 1.588(0.316) \text{No}\_\text{excision}\#2_{ijk} + \\ &= -0.824(0.352) \text{ASA}\_1\_2.\text{No}\_\text{excision}_{ijk} + \\ &= -0.713(0.383) \text{ASA}\_1\_3.\text{No}\_\text{excision}_{ijk} + \\ &= -1.370(0.448) \text{ASA}\_1\_4.\text{No}\_\text{excision}_{ijk} + \\ &= -1.370(0.448) \text{ASA}\_1\_4.\text{No}\_\text{excision}_{ijk} + \\ &= -5.067(0.151) + \nu_{0k} + \nu_{0jk} \\ \\ \begin{bmatrix} \nu_{0ik} \end{bmatrix} \sim \text{N}(0, \ \Omega_{\nu}) : \ \Omega_{\nu} = \begin{bmatrix} 0.038(0.036) \end{bmatrix} \\ \\ \begin{bmatrix} \nu_{0jk} \end{bmatrix} \sim \text{N}(0, \ \Omega_{\nu}) : \ \Omega_{\nu} = \begin{bmatrix} 0.183(0.091) \end{bmatrix} \\ \\ \text{bcon}^* = \text{bcon}[\ \pi_{ijk}(1-\pi_{ijk})/\text{denom}_{ijk}]^{0.5} \\ \\ \begin{bmatrix} e_{1ijk} \end{bmatrix} \sim (0, \ \Omega_{e}) : \ \Omega_{e} = \begin{bmatrix} 1.000(0.000) \end{bmatrix} \end{split}$$

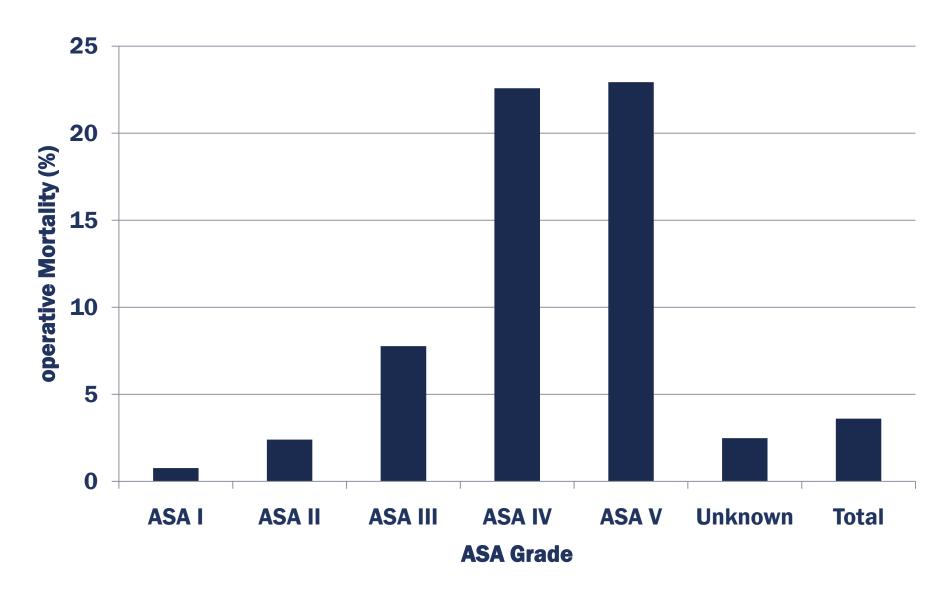
Tekkis PP. Poloniecki JD. Thompson MR. Stamatakis JD. Operative mortality in colorectal cancer: prospective national study. BMJ. 327(7425):1196-201, 2003 Nov 22

#### But not any more – why???





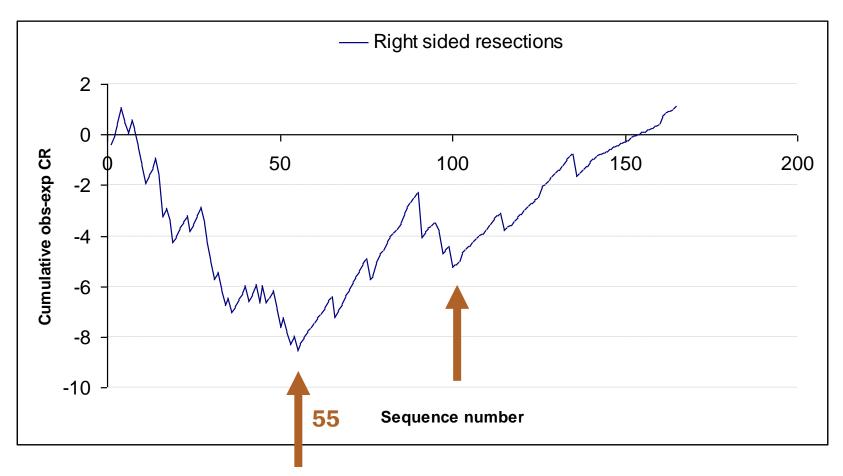
# ASA (2009)



#### Learning curve – laparoscopic surgery

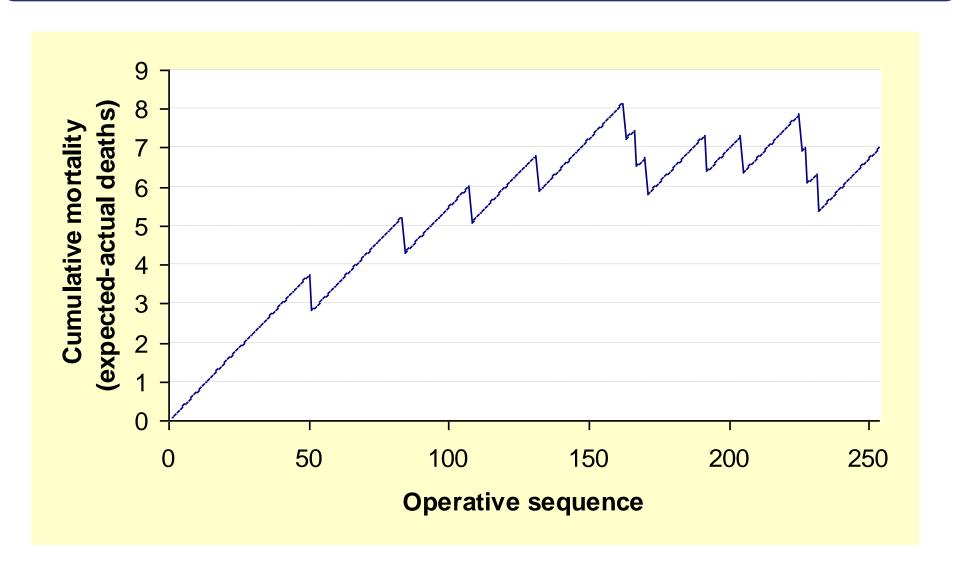


#### **Lap Rt Hemi**



two learning curves !!

#### Identifying good units



#### Areas of Potential Collaboration

#### **NBOCAP**

- Co-morbidity / deprivation
- More accurate clinical info
- UK wide (ish)
- International contacts & work
- Clinical modelling of outcomes
- National voice in bowel cancer care
- Trusted by the profession

#### **NCIN**

- HES links
- ONS Links
- Organisation
- Full access to all datasets



#### International collaboration



**New South** 

Tasmania





# A High Quality Audit

